

Image

1642

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/164,862

Filing Date

October 1, 1998

First Named Inventor

Paul A. Price

Group Art Unit

1642

Examiner Name

Lin Sun-Hoffman, Ph.D.

Total Number of Pages in This Submission

Attorney Docket Number

407T-895500US

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☒ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

receipt acknowledgment
postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Tom Hunter, Reg. No. 38,498,

Quine Intellectual Property Law Group P.C.

Signature

Date

March 11, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, DC 20231 on this date: March 11, 2004

Typed or printed name

Chianti Appling

Signature

Date

3/11/04

I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to: Commissioner for Patents
PO Box 1450, Alexandria, VA 22313-1450, on

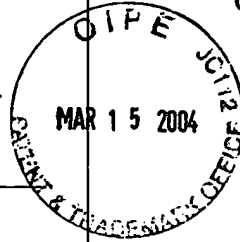
Atty Docket No: 407T-895500US

Client Ref: 1998-298-1

March 11, 2004
QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By:

Chianti Appling



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PAUL A. PRICE and JULIA S. JOHANSEN

Examiner: Lin Sun-Hoffman, Ph.D.

Application No.: **09/164,862**

Art Unit: 1642

Filed: **10/01/1998**

For: **YKL-40 AS A MARKER AND
PROGNOSTIC INDICATOR FOR CANCERS**

AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

INTRODUCTORY COMMENTS

Dear Sir:

This paper is filed in response to the Notice of Non-Compliant Amendment mailed on March 1, 2004. In accordance with the notice, Applicants submit herewith a revised section (Amendments to the Claims) for the amendment filed on October 15, 2003.

Because this paper is filed within 30 days of the mailing date of the Notice of Non-Compliant Amendment, Applicants believe no fees are required.